

PTO-1390 (Rev. 10-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 075834.00464 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. 15 known see 37 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/JP03/16343 INTERNATIONAL FILING DATE December 19, 2003 February 17, 2003 TITLE OF INVENTION MASK CORRECTING METHOD APPLICANT(S) FOR DO/EO/US Ken Ozawa Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). ~ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔽 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13 A preliminary amendment. An Application Data Sheet under 37 CFR-1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION N | IO. (if known, see 37 C | 7°9 | INTERNATIONAL APPLICATION NO. PCT/JP03/16343 | | | ATTORNEY'S DOCKET NUMBER 075834.00464 | |
|--|---|------|---|---|---------|---------------------------------------|--------------|
| | , , , <u>, , , , , , , , , , , , , , , , </u> | | <u> </u> | | | CALCULATIONS | PTO USE ONLY |
| 21. The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)–(5)): | | | | | | | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | | | | | | • | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00 | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | | | | | | • | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | | | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | | | | | \$ 950.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | \$ 0.00 | |
| CLAIMS | NUMBER FILE | | NUMBER EXTRA | R | ATE | \$ | |
| Total claims | 4 - : | 20 = | 0 | Х | \$18.00 | \$ 0.00 |) |
| Independent claims | 1 - | 3 = | 0 | Х | \$88.00 | \$ 0.00 |) |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 | | | | | | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced | | | | | | \$ 950.00 \$ | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | | ຶ 0.00 | |
| SUBTOTAL = | | | | | | \$ 950.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | | | \$ 950.00 | <u> </u> |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | | | \$ 990.00 | <u> </u> |
| | • | | | | | Amount to be refunded: | \$ |
| | | | | | | Amount to be charged: | \$ |
| a. A check in the amount of \$950 & \$40 to cover the above fees is enclosed. | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1794. A duplicate copy of this sheet is enclosed. | | | | | | | |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | |
| CUSTOMER NO. 33448 | | | | | | | |
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| FORM PTO-1390 (REV. 10-2004) Page 2 of 2 | | | | | | | |
| The PTO did not receive the following | | | | | | | |
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Patent Application entitled:

MASK CORRECTING METHOD

Inventor(s):

Ken Ozawa

Our Case No.

075834.00464

Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PTO-1390), Preliminary Amendment, English Translation of International Application as filed (22 pages), formal drawings (9 pages – Figs. 1-10), Executed Oath and Declaration (2 pages), Assignment Recordation Form Cover Sheet, Assignment (1 pages), Information Disclosure Statement, PTO/SB/08A, International Search Report and cited references.

Government filing fee

\$950

Assignment Recording Fee

\$40

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